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INDICATION FORM**

Application Number	10/814,609
Filing Date	March 29, 2004
First Named Inventor	Luke P. Lee
Title	METHOD AND APPARATUS FOR NANOGAP
Art Unit	1636
Examiner Name	Unassigned
Attorney Docket Number	313S-300610US

I hereby appoint:

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<input checked="" type="checkbox"/>	Firm or Individual Name	Quine Intellectual Property Law Group, P.C.			
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I am the:

 Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71.
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)***SIGNATURE of Applicant or Assignee of Record**

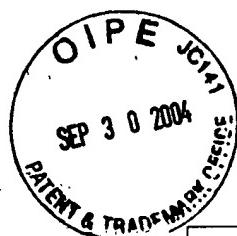
Name	Carol Mimura, Ph.D.		
Signature	<i>Carol</i>		
Date	2/12/04	Telephone	(510) 643-7201

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

 *Total of _____ forms are submitted.**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or Printed Name	Amelia Weintraub		
Signature	<i>Amelia Weintraub</i>		
Date	Sept. 24, 2004		



STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Luke P. Lee, et al.

Application No.: 10/814,609

Filed: March 29, 2004

Entitled: METHOD AND APPARATUS FOR NANOGAP DEVICE AND ARRAY

The Regents of the University, a
corporation
of California

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or
2. an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.
2. From: _____ To: _____
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The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet

[X]Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

7/12/04

Date

Carol Mimura

Signature

Carol Mimura, Ph.D.

Typed or printed name
Director, Office of Technology
Licensing

Title

COPY

NOT FOR RECORDATION

ASSIGNMENT

U.C. Case No. B02-086-2
Attorney Docket No. 313S-300610US

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Luke P. Lee

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

TITLE: METHOD AND APPARATUS FOR NANOGAP DEVICE AND ARRAY

and which is found in

- (a) U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) U.S. patent application filed herewith and listing the above named persons as inventors
- (c) U.S. application serial no. 10/814,609, filed on March 29, 2004
- (d) U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

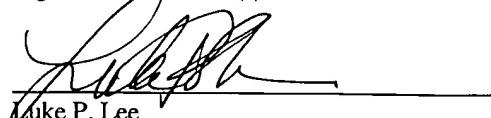
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

20th day of July, 2004

Signature of Inventor(s)


Luke P. Lee